#### CLASSIFYING AMENABLE OPERATOR ALGEBRAS

JOINT WORK WITH J. GABE, C. SCHAFHAUSER, A. TIKUISIS, AND S. WHITE

José Carrión TCU INTRODUCTION

### Consider

$$M_{2}(\mathbb{C}) \subset M_{4}(\mathbb{C}) \subset M_{8}(\mathbb{C}) \subset \cdots \subset \bigcup M_{2^{n}}(\mathbb{C})$$
$$a \mapsto \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}$$

Think of the elements of  $\bigcup M_{2^n}(\mathbb{C})$  as "infinite by infinite matrices" that act on the vector space  $\ell^2(\mathbb{N})$ .

 two "matrices" are close if enough of their entries are close. This leads to the *weak operator topology* (wot).

- two "matrices" are close if enough of their entries are close. This leads to the *weak operator topology* (wor).
- two "matrices" are close if they map the unit ball of  $\ell^2(\mathbb{N})$  to nearly the same place. This leads to the  $\|\cdot\|$ -topology.

- two "matrices" are close if enough of their entries are close. This leads to the *weak operator topology* (wor).
- two "matrices" are close if they map the unit ball of  $\ell^2(\mathbb{N})$  to nearly the same place. This leads to the  $\|\cdot\|$ -topology.

These are examples of *operator algebras*. This talk is about classifying them: how to tell them apart.

# Some motivation (cont'd)

Two very early examples of classification results:

Murray-von Neumann, 1943

$$\overline{\bigcup M_{2^n}(\mathbb{C})}^{\text{WOT}} \cong \overline{\bigcup M_{3^n}(\mathbb{C})}^{\text{WOT}}$$

# Some motivation (cont'd)

Two very early examples of classification results:

Murray-von Neumann, 1943

$$\overline{\bigcup M_{2^n}(\mathbb{C})}^{\text{WOT}} \cong \overline{\bigcup M_{3^n}(\mathbb{C})}^{\text{WOT}}$$

Glimm, 1960

$$\overline{\bigcup M_{2^n}(\mathbb{C})}^{\|\cdot\|} \not\cong \overline{\bigcup M_{3^n}(\mathbb{C})}^{\|\cdot\|}$$

## Some motivation (cont'd)

Two very early examples of classification results:

Murray-von Neumann, 1943

$$\overline{\bigcup M_{2^n}(\mathbb{C})}^{\text{WOT}} \cong \overline{\bigcup M_{3^n}(\mathbb{C})}^{\text{WOT}}$$



How to distinguish these last two? Associate a group with such algebras that is invariant under isomorphism, called  $K_0(-)$ . It turns out that

$$K_0\left(\overline{\bigcup M_{p^n}(\mathbb{C})}^{\|\cdot\|}\right) = \left\{\frac{m}{p^n}: m, n \in \mathbb{Z}\right\}.$$

## Example: $\mathcal{B}(\mathcal{H})\text{,}$ bounded operators on a Hilbert space

- algebraic structure: \*-algebra,  $\langle T^*v, w \rangle = \langle v, Tw \rangle$
- analytic structure:  $||T|| = \sup\{||Tv|| : ||v|| = 1\}$ , Banach space.

Example:  $\mathcal{B}(\mathcal{H})\text{,}$  bounded operators on a Hilbert space

- + algebraic structure: \*-algebra,  $\langle T^*v,w\rangle=\langle v,Tw\rangle$
- analytic structure:  $||T|| = \sup\{||Tv|| : ||v|| = 1\}$ , Banach space.

#### **OPERATOR ALGEBRAS**

## Example: $\mathcal{B}(\mathcal{H})\text{,}$ bounded operators on a Hilbert space

- algebraic structure: \*-algebra,  $\langle T^*v, w \rangle = \langle v, Tw \rangle$
- analytic structure:  $||T|| = \sup\{||Tv|| : ||v|| = 1\}$ , Banach space.
- e.g.  $\mathcal{H} = \mathbb{C}^n \rightsquigarrow M_n(\mathbb{C})$

### **OPERATOR ALGEBRAS**

## Example: $\mathcal{B}(\mathcal{H})\text{,}$ bounded operators on a Hilbert space

- + algebraic structure: \*-algebra,  $\langle T^*v,w\rangle=\langle v,Tw\rangle$
- analytic structure:  $||T|| = \sup\{||Tv|| : ||v|| = 1\}$ , Banach space.

• e.g. 
$$\mathcal{H} = \mathbb{C}^n \rightsquigarrow M_n(\mathbb{C})$$

## $C^*$ -algebras

- + A  $\subset \mathcal{B}(\mathcal{H}),$  closed in  $\|\cdot\|$
- A abelian  $\rightsquigarrow C(X)$
- "Topological flavor"

#### von Neumann algebras

- +  $\mathcal{M}\subset\mathcal{B}(\mathcal{H}),$  closed in wor.
- $\mathcal{M}$  abelian  $\rightsquigarrow L^{\infty}(X,\mu)$
- "Measure theoretic flavor"

Can represent  $\mathbb{Z}$  "concretely" as operators on  $\ell^2(\mathbb{Z})$ ,  $n \mapsto \lambda_n$ ;  $\lambda_n$  shifts entries of vector by n.

Can represent  $\mathbb{Z}$  "concretely" as operators on  $\ell^2(\mathbb{Z})$ ,  $n \mapsto \lambda_n$ ;  $\lambda_n$  shifts entries of vector by n.



•  $\Gamma$ : (discrete) group. Get Hilbert space  $\ell^2(\Gamma)$  of square summable functions  $\Gamma \to \mathbb{C}$  with basis  $\{\delta_{\gamma}\}_{\gamma \in \Gamma}$  $(\delta_{\gamma}: \text{ indicator function of } \{\gamma\}).$ 

- $\Gamma$ : (discrete) group. Get Hilbert space  $\ell^2(\Gamma)$  of square summable functions  $\Gamma \to \mathbb{C}$  with basis  $\{\delta_{\gamma}\}_{\gamma \in \Gamma}$  $(\delta_{\gamma}: \text{ indicator function of } \{\gamma\}).$
- left regular representation:  $\gamma \mapsto \lambda_{\gamma} \in \mathcal{B}(\ell^2(\Gamma))$ , where  $\lambda_{\gamma}(\delta_{\gamma'}) = \delta_{\gamma\gamma'}$ .

- $\Gamma$ : (discrete) group. Get Hilbert space  $\ell^2(\Gamma)$  of square summable functions  $\Gamma \to \mathbb{C}$  with basis  $\{\delta_{\gamma}\}_{\gamma \in \Gamma}$  $(\delta_{\gamma}: \text{ indicator function of } \{\gamma\}).$
- left regular representation:  $\gamma \mapsto \lambda_{\gamma} \in \mathcal{B}(\ell^2(\Gamma))$ , where  $\lambda_{\gamma}(\delta_{\gamma'}) = \delta_{\gamma\gamma'}$ .
- +  $C^*_\lambda(\Gamma) \coloneqq \|\cdot\|\text{-closure of }*\text{-algebra generated by the }\lambda_\gamma\text{'s}$

- $\Gamma$ : (discrete) group. Get Hilbert space  $\ell^2(\Gamma)$  of square summable functions  $\Gamma \to \mathbb{C}$  with basis  $\{\delta_{\gamma}\}_{\gamma \in \Gamma}$  $(\delta_{\gamma}: \text{ indicator function of } \{\gamma\}).$
- left regular representation:  $\gamma \mapsto \lambda_{\gamma} \in \mathcal{B}(\ell^2(\Gamma))$ , where  $\lambda_{\gamma}(\delta_{\gamma'}) = \delta_{\gamma\gamma'}$ .
- +  $C^*_\lambda(\Gamma) \coloneqq \| \cdot \|\text{-closure of }*\text{-algebra generated by the }\lambda_\gamma\text{'s}$
- ·  $vN(\Gamma) \coloneqq wot-closure of *-algebra generated by the <math>\lambda_{\gamma}$ 's

- $\Gamma$ : (discrete) group. Get Hilbert space  $\ell^2(\Gamma)$  of square summable functions  $\Gamma \to \mathbb{C}$  with basis  $\{\delta_{\gamma}\}_{\gamma \in \Gamma}$  $(\delta_{\gamma}: \text{ indicator function of } \{\gamma\}).$
- left regular representation:  $\gamma \mapsto \lambda_{\gamma} \in \mathcal{B}(\ell^2(\Gamma))$ , where  $\lambda_{\gamma}(\delta_{\gamma'}) = \delta_{\gamma\gamma'}$ .
- +  $C^*_\lambda(\Gamma) \coloneqq \| \cdot \|\text{-closure of }*\text{-algebra generated by the }\lambda_\gamma\text{'s}$
- · vN( $\Gamma$ ) := wot-closure of \*-algebra generated by the  $\lambda_{\gamma}$ 's

#### This generalizes the Fourier transform:

- $C^*_{\lambda}(\mathbb{Z}) \cong C(\mathbb{T})$
- Moreover:  $vN(\mathbb{Z}) \cong L^{\infty}(\mathbb{T})$

- $\Gamma$ : (discrete) group. Get Hilbert space  $\ell^2(\Gamma)$  of square summable functions  $\Gamma \to \mathbb{C}$  with basis  $\{\delta_{\gamma}\}_{\gamma \in \Gamma}$  $(\delta_{\gamma}: \text{ indicator function of } \{\gamma\}).$
- left regular representation:  $\gamma \mapsto \lambda_{\gamma} \in \mathcal{B}(\ell^2(\Gamma))$ , where  $\lambda_{\gamma}(\delta_{\gamma'}) = \delta_{\gamma\gamma'}$ .
- +  $C^*_\lambda(\Gamma) \coloneqq \| \cdot \|$  -closure of \*-algebra generated by the  $\lambda_\gamma$  's
- ·  $vN(\Gamma) := wot-closure of *-algebra generated by the <math>\lambda_{\gamma}$ 's

#### This generalizes the Fourier transform:

- $\cdot C^*_{\lambda}(\mathbb{Z}) \cong C(\mathbb{T}) \not\cong C(\mathbb{T}^2) \cong C^*(\mathbb{Z}^2)$
- Moreover:  $vN(\mathbb{Z}) \cong L^{\infty}(\mathbb{T}) \cong L^{\infty}(\mathbb{T}^2) \cong vN(\mathbb{Z}^2)$

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi \colon \mathbb{T} \to \mathbb{T}$  be rotation by  $2\pi\theta$ .
- Get action  $\mathbb{Z} \curvearrowright \mathbb{T}$ :  $n \mapsto \varphi^n$ .

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi \colon \mathbb{T} \to \mathbb{T}$  be rotation by  $2\pi\theta$ .
- Get action  $\mathbb{Z} \curvearrowright \mathbb{T}: n \mapsto \varphi^n$ .
- $A_{\theta}$  is generated by  $\varphi$  and  $C(\mathbb{T})$ , as follows:

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi \colon \mathbb{T} \to \mathbb{T}$  be rotation by  $2\pi\theta$ .
- Get action  $\mathbb{Z} \curvearrowright \mathbb{T}: n \mapsto \varphi^n$ .
- $A_{\theta}$  is generated by  $\varphi$  and  $C(\mathbb{T})$ , as follows: Consider the operators T and  $M_f$  ( $f \in C(\mathbb{T})$ ) on  $L^2(\mathbb{T})$ ,

$$U(g) = g \circ \varphi^{-1}, \qquad M_f(g) = fg.$$

 $A_{\theta} := \| \cdot \|$ -closure of the \*-algebra they generate.

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi \colon \mathbb{T} \to \mathbb{T}$  be rotation by  $2\pi\theta$ .
- Get action  $\mathbb{Z} \curvearrowright \mathbb{T}: n \mapsto \varphi^n$ .
- $A_{\theta}$  is generated by  $\varphi$  and  $C(\mathbb{T})$ , as follows: Consider the operators T and  $M_f$  ( $f \in C(\mathbb{T})$ ) on  $L^2(\mathbb{T})$ ,

$$U(g) = g \circ \varphi^{-1}, \qquad M_f(g) = fg.$$

 $A_{\theta} := \| \cdot \|$ -closure of the \*-algebra they generate. Note:  $U^{-1}M_f U = M_{f \circ \varphi^{-1}}$ . Think of semidirect products.

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi \colon \mathbb{T} \to \mathbb{T}$  be rotation by  $2\pi\theta$ .
- Get action  $\mathbb{Z} \curvearrowright \mathbb{T}: n \mapsto \varphi^n$ .
- $A_{\theta}$  is generated by  $\varphi$  and  $C(\mathbb{T})$ , as follows: Consider the operators T and  $M_f$  ( $f \in C(\mathbb{T})$ ) on  $L^2(\mathbb{T})$ ,

$$U(g) = g \circ \varphi^{-1}, \qquad M_f(g) = fg.$$

 $A_{\theta} := \| \cdot \|$ -closure of the \*-algebra they generate. Note:  $U^{-1}M_f U = M_{f \circ \varphi^{-1}}$ . Think of semidirect products.

#### (Foreshadowing) observations on $A_{\theta}$

•  $A_{\theta}$  is a "noncommutative" version of  $\mathbb{T}^2 \rightsquigarrow A_{\theta}$  is finite dimensional (in some noncommutative sense).

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi \colon \mathbb{T} \to \mathbb{T}$  be rotation by  $2\pi\theta$ .
- Get action  $\mathbb{Z} \curvearrowright \mathbb{T}: n \mapsto \varphi^n$ .
- $A_{\theta}$  is generated by  $\varphi$  and  $C(\mathbb{T})$ , as follows: Consider the operators T and  $M_f$  ( $f \in C(\mathbb{T})$ ) on  $L^2(\mathbb{T})$ ,

$$U(g) = g \circ \varphi^{-1}, \qquad M_f(g) = fg.$$

 $A_{\theta} := \| \cdot \|$ -closure of the \*-algebra they generate. Note:  $U^{-1}M_{f}U = M_{f\circ\varphi^{-1}}$ . Think of semidirect products.

#### (Foreshadowing) observations on $A_{\theta}$

- $A_{\theta}$  is a "noncommutative" version of  $\mathbb{T}^2 \rightsquigarrow A_{\theta}$  is finite dimensional (in some noncommutative sense).
- $\theta \notin \mathbb{Q} \Rightarrow \exists$  nontrivial closed invariant subsets of  $\mathbb{T}$ . Translation: no nontrivial closed ideals of  $A_{\theta}$ . It's simple.

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi \colon \mathbb{T} \to \mathbb{T}$  be rotation by  $2\pi\theta$ .
- Get action  $\mathbb{Z} \curvearrowright \mathbb{T}: n \mapsto \varphi^n$ .
- $A_{\theta}$  is generated by  $\varphi$  and  $C(\mathbb{T})$ , as follows: Consider the operators T and  $M_f$  ( $f \in C(\mathbb{T})$ ) on  $L^2(\mathbb{T})$ ,

$$U(g) = g \circ \varphi^{-1}, \qquad M_f(g) = fg.$$

 $A_{\theta} := \| \cdot \|$ -closure of the \*-algebra they generate. Note:  $U^{-1}M_{f}U = M_{f\circ\varphi^{-1}}$ . Think of semidirect products.

## (Foreshadowing) observations on $A_{\theta}$

- $A_{\theta}$  is a "noncommutative" version of  $\mathbb{T}^2 \rightsquigarrow A_{\theta}$  is finite dimensional (in some noncommutative sense).
- $\theta \notin \mathbb{Q} \Rightarrow \mathbb{A}$  nontrivial closed invariant subsets of  $\mathbb{T}$ . Translation: no nontrivial closed ideals of  $A_{\theta}$ . It's simple.
- ·  $A_{\theta}$  is built using friendly (even abelian) objects. It's *amenable*.

- group  $\Gamma$  acts on X (e.g compact metric space) by homeomorphisms:  $\Gamma \stackrel{\alpha}{\frown} X$ .
- Get induced action of  $\Gamma$  on C(X):  $\gamma f = f \circ \alpha_{\gamma}^{-1}$ .
- Roughly speaking, can combine  $C^*_{\lambda}(\Gamma)$  and C(X) and form the crossed product  $C(X) \rtimes \Gamma$ .
- Construction is similar to semidirect product of groups:  $H \curvearrowright N \rightsquigarrow N \rtimes H.$

- group  $\Gamma$  acts on X (e.g compact metric space) by homeomorphisms:  $\Gamma \stackrel{\alpha}{\frown} X$ .
- Get induced action of  $\Gamma$  on C(X):  $\gamma f = f \circ \alpha_{\gamma}^{-1}$ .
- Roughly speaking, can combine  $C^*_{\lambda}(\Gamma)$  and C(X) and form the crossed product  $C(X) \rtimes \Gamma$ .
- Construction is similar to semidirect product of groups:  $H \curvearrowright N \rightsquigarrow N \rtimes H.$

- group  $\Gamma$  acts on X (e.g compact metric space) by homeomorphisms:  $\Gamma \stackrel{\alpha}{\frown} X$ .
- Get induced action of  $\Gamma$  on C(X):  $\gamma f = f \circ \alpha_{\gamma}^{-1}$ .
- Roughly speaking, can combine  $C^*_{\lambda}(\Gamma)$  and C(X) and form the crossed product  $C(X) \rtimes \Gamma$ .
- Construction is similar to semidirect product of groups:  $H \curvearrowright N \rightsquigarrow N \rtimes H.$

- group  $\Gamma$  acts on X (e.g compact metric space) by homeomorphisms:  $\Gamma \stackrel{\alpha}{\frown} X$ .
- Get induced action of  $\Gamma$  on C(X):  $\gamma f = f \circ \alpha_{\gamma}^{-1}$ .
- Roughly speaking, can combine  $C^*_{\lambda}(\Gamma)$  and C(X) and form the crossed product  $C(X) \rtimes \Gamma$ .
- Construction is similar to semidirect product of groups:  $H \curvearrowright N \rightsquigarrow N \rtimes H$ .

# FACTORS, FINITE DIMENSIONAL APPROXIMATIONS, AMENABILITY: CLASSIFYING VN ALGEBRAS

# *Factor:* a vN alg. with no nontrivial vN alg. ideals. Every vN algebra is a "direct integral" of factors.

Factor: a vN alg. with no nontrivial vN alg. ideals.

Every vN algebra is a "direct integral" of factors.

**Example:**  $M = L^{\infty}(X, \mu) \rtimes \Gamma$ 

If  $\Gamma \curvearrowright (X, \mu)$  is free, then  $L^{\infty}(X, \mu) \rtimes \Gamma$  is a factor  $\Leftrightarrow$  action is ergodic.
Factor: a vN alg. with no nontrivial vN alg. ideals.

Every vN algebra is a "direct integral" of factors.

**Example:**  $M = L^{\infty}(X, \mu) \rtimes \Gamma$ 

If  $\Gamma \curvearrowright (X, \mu)$  is free, then  $L^{\infty}(X, \mu) \rtimes \Gamma$  is a factor  $\Leftrightarrow$  action is ergodic.

E.g:  $X = \mathbb{Z}$ ,  $\Gamma = \mathbb{Z}$ , action = translation.

E.g.:  $X = \mathbb{T}$ ,  $\Gamma = \mathbb{Z}$ , action = irrational rotation.

Factor: a vN alg. with no nontrivial vN alg. ideals.

Every vN algebra is a "direct integral" of factors.

**Example:**  $M = L^{\infty}(X, \mu) \rtimes \Gamma$ 

If  $\Gamma \curvearrowright (X, \mu)$  is free, then  $L^{\infty}(X, \mu) \rtimes \Gamma$  is a factor  $\Leftrightarrow$  action is ergodic.

E.g:  $X = \mathbb{Z}$ ,  $\Gamma = \mathbb{Z}$ , action = translation.

E.g.:  $X = \mathbb{T}$ ,  $\Gamma = \mathbb{Z}$ , action = irrational rotation.

How to distinguish? Can look at the *possible dimensions:* equivalence classes of projections.

*Factor:* a vN alg. with no nontrivial vN alg. ideals.

Every vN algebra is a "direct integral" of factors.

**Example:**  $M = L^{\infty}(X, \mu) \rtimes \Gamma$ If  $\Gamma \curvearrowright (X, \mu)$  is free, then  $L^{\infty}(X, \mu) \rtimes \Gamma$  is a factor  $\Leftrightarrow$  action is ergodic.

E.g:  $X = \mathbb{Z}$ ,  $\Gamma = \mathbb{Z}$ , action = translation.  $Dim(M) = \{1, 2, 3, \dots, \infty\}$ 

E.g.:  $X = \mathbb{T}$ ,  $\Gamma = \mathbb{Z}$ , action = irrational rotation. Dim(M) = [0, 1]

How to distinguish? Can look at the *possible dimensions*: equivalence classes of projections.

# Examples I

- $M_k(\mathbb{C})$   $B(\ell^2(\mathbb{N}))$

# Examples I

- $M_k(\mathbb{C})$   $B(\ell^2(\mathbb{N}))$

# Examples II

# Examples I

- $M_k(\mathbb{C})$   $B(\ell^2(\mathbb{N}))$

# Examples II

• 
$$\mathcal{R} := \overline{\bigcup_{n \ge 1} M_{2^n}(\mathbb{C})}^{WO}$$

# Examples I

- $M_k(\mathbb{C})$
- $\cdot \ B\bigl(\ell^2(\mathbb{N})\bigr)$

# Examples II

- $\mathcal{R} := \overline{\bigcup_{n \ge 1} M_{2^n}(\mathbb{C})}^{WOT}$
- $vN(S_{\infty})$

 $S_\infty = \text{finite permutations on } \mathbb{N}$ 

# Examples I

- $M_k(\mathbb{C})$
- $\cdot \ B\bigl(\ell^2(\mathbb{N})\bigr)$

# Examples II

- $\mathcal{R} := \overline{\bigcup_{n \ge 1} M_{2^n}(\mathbb{C})}^{WOT}$
- $vN(S_{\infty})$  $S_{\infty} = finite permutations on N$
- ·  $L^{\infty}(\mathbb{T}) \rtimes_{\theta} \mathbb{Z}$

# Examples I

- $M_k(\mathbb{C})$
- $\boldsymbol{\cdot} \ B\bigl(\ell^2(\mathbb{N})\bigr)$

# Examples II

- $\mathcal{R} := \overline{\bigcup_{n \ge 1} M_{2^n}(\mathbb{C})}^{WOT}$
- · vN(S\_{\infty})

 $S_\infty = \text{finite permutations on } \mathbb{N}$ 

- ·  $L^{\infty}(\mathbb{T}) \rtimes_{\theta} \mathbb{Z}$
- $B(\ell^2(\mathbb{N}, \mathcal{R}))$ ("matrices" with entries in  $\mathcal{R}$ )

# Examples I

- $\cdot M_k(\mathbb{C})$  )  $\text{Dim} = \{1, 2, \dots, n\}$
- $B(\ell^2(\mathbb{N})) \qquad \int \rightsquigarrow \text{type } I_n \quad (n = \infty \text{ allowed})$

## Examples II

- $\mathcal{R} := \overline{\bigcup_{n \ge 1} M_{2^n}(\mathbb{C})}^{WOT}$
- $vN(S_{\infty})$

 $S_\infty = \text{finite permutations on } \mathbb{N}$ 

- ·  $L^{\infty}(\mathbb{T}) \rtimes_{\theta} \mathbb{Z}$
- $B(\ell^2(\mathbb{N}, \mathcal{R}))$ ("matrices" with entries in  $\mathcal{R}$ )

# Examples I

- $M_k(\mathbb{C}) \qquad \qquad \text{Dim} = \{1, 2, \dots, n\}$
- $B(\ell^2(\mathbb{N}))$   $\int \rightsquigarrow \text{ type } I_n \quad (n = \infty \text{ allowed})$

# Examples II

- $\mathcal{R} := \overline{\bigcup_{n \ge 1} M_{2^n}(\mathbb{C})}^{WOT}$
- · vN(S<sub> $\infty$ </sub>) S<sub> $\infty$ </sub> = finite permutations on N
- ·  $L^{\infty}(\mathbb{T}) \rtimes_{\theta} \mathbb{Z}$
- $B(\ell^2(\mathbb{N}, \mathcal{R}))$ ("matrices" with entries in  $\mathcal{R}$ )

Dim = [0, 1] $\rightarrow type II_1$ 

# Examples I

- $M_k(\mathbb{C})$  Dim = {1, 2, ..., n}
- $B(\ell^2(\mathbb{N}))$   $\int \rightsquigarrow \text{ type } I_n \quad (n = \infty \text{ allowed})$

## Examples II

 $\begin{array}{l} \cdot \ \mathcal{R} := \overline{\bigcup_{n \ge 1} M_{2^n}(\mathbb{C})}^{\text{wor}} \\ \cdot \ \text{vN}(S_{\infty}) \\ S_{\infty} = \text{finite permutations on } \mathbb{N} \end{array} \right\} \begin{array}{l} \text{Dim} = [0, 1] \\ \rightsquigarrow \text{type } \mathbb{I}_1 \\ \cdot \ L^{\infty}(\mathbb{T}) \rtimes_{\theta} \mathbb{Z} \end{array} \\ \cdot \ B(\ell^2(\mathbb{N}, \mathcal{R})) \\ (\text{``matrices'' with entries in } \mathcal{R}) \end{array} \right\} \begin{array}{l} \text{projections} \sim [0, \infty] \\ \rightsquigarrow \text{type } \mathbb{I}_{\infty} \end{array}$ 

**Def:** Approximately finite dimensional (AFD) vN algebra *M* Contains finite dim'l subalgebras  $F_1 \subset F_2 \subset \cdots \subset M$  with woT-dense union. (Note: finite dim'l  $\Leftrightarrow \bigoplus_{k=1}^N M_{n(k)}(\mathbb{C})$ .) **Def:** Approximately finite dimensional (AFD) vN algebra *M* Contains finite dim'l subalgebras  $F_1 \subset F_2 \subset \cdots \subset M$  with wor-dense union. (Note: finite dim'l  $\Leftrightarrow \bigoplus_{k=1}^N M_{n(k)}(\mathbb{C}).$ )

Theorem (Murray-von Neumann, 1943)

There is a unique AFD factor of type II<sub>1</sub>,  $\mathcal{R}$ .

**Def:** Approximately finite dimensional (AFD) vN algebra *M* Contains finite dim'l subalgebras  $F_1 \subset F_2 \subset \cdots \subset M$  with wor-dense union. (Note: finite dim'l  $\Leftrightarrow \bigoplus_{k=1}^N M_{n(k)}(\mathbb{C})$ .)

Theorem (Murray-von Neumann, 1943)

There is a unique AFD factor of type II<sub>1</sub>,  $\mathcal{R}$ .

One issue: exhibiting internal finite dim'l approximations verifying AFD condition can be difficult.

Would like abstract condition, avoiding concrete internal structural requirements.

#### Group case

A (discrete) group  $\Gamma$  is *amenable* if it admits a finitely additive left-invariant probability measure on its subsets — a "mean".

- Includes finite groups, abelian groups
- Closed under direct limits, taking quotients, subgroups, extensions
- Important non-example: free group  $\mathbb{F}_n(n \ge 2)$ . Related to Banach-Tarski paradox.

#### Group case

A (discrete) group  $\Gamma$  is *amenable* if it admits a finitely additive left-invariant probability measure on its subsets — a "mean".

- Includes finite groups, abelian groups
- Closed under direct limits, taking quotients, subgroups, extensions
- Important non-example: free group  $\mathbb{F}_n(n \ge 2)$ . Related to Banach-Tarski paradox.

Can define an analog for *C*\*-algebras and vN algebras. It turns out (with quite some effort) that:

 $\Gamma$  amenable  $\Leftrightarrow C^*_{\lambda}(\Gamma)$  amenable  $\Leftrightarrow vN(\Gamma)$  amenable.

# CONNES' THEOREM; CLASSIFYING AMENABLE FACTORS

Connes' theorem, 1976

A vN algebra M is amenable  $\Leftrightarrow$  M is AFD.

## CONNES' THEOREM; CLASSIFYING AMENABLE FACTORS

#### Connes' theorem, 1976

A vN algebra M is amenable  $\Leftrightarrow$  M is AFD.

# Theorem (Connes, Haagerup, Murray-von Neumann)

There is a unique amenable factor for each of the types  $I_n$   $(n \in \mathbb{N})$ ,  $I_{\infty}$ ,  $II_1$ ,  $II_{\infty}$ ,  $III_{\lambda}$   $(0 < \lambda \le 1)$ , and the type  $III_0$  factors correspond to certain ergodic flows.

"A triumph of 20th century mathematics" (V.F.R. Jones).

## CONNES' THEOREM; CLASSIFYING AMENABLE FACTORS

#### Connes' theorem, 1976

A vN algebra M is amenable  $\Leftrightarrow$  M is AFD.

# Theorem (Connes, Haagerup, Murray-von Neumann)

There is a unique amenable factor for each of the types  $I_n$   $(n \in \mathbb{N})$ ,  $I_{\infty}$ ,  $II_1$ ,  $II_{\infty}$ ,  $III_{\lambda}$   $(0 < \lambda \le 1)$ , and the type  $III_0$  factors correspond to certain ergodic flows.

"A triumph of 20th century mathematics" (V.F.R. Jones).

Led to further breakthroughs in related areas, e.g.: all free ergodic probability measure preserving actions of countable amenable groups are orbit equivalent (Connes-Feldman-Weiss).

# CLASSIFYING C\*-ALGEBRAS

Since, in principle, a commutative C\*-algebra contains all possible information concerning its related compact Hausdorff space, *it ought to be possible to extract topological information ring-theoretically*. Nothing has yet come of this. Possibly the trouble is that the requisite constructions and calculations are beyond the resources of present-day ring theory.

Irving Kaplansky, 1958

# Definition

Suppose  $1_A \in A$ .  $K_0(A)$  is the abelian group generated by classes  $[p]_0$ , where p is any projection in a matrix algebra over A, subject to the relations

•  $[p]_0 = [q]_0$  if p = uv and q = vu for some matrices u, v

over A

$$\cdot \ [p]_0 + [q]_0 = \left[ \begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix} \right]_0.$$

Extension of Atiyah and Hirzebruch's topological *K*-theory, which concerned itself with the study of vector bundles using algebraic means.

E.g.: When A = C(X), have  $K_0(A) \otimes \mathbb{Q} \cong \bigoplus H^{2n}(X; \mathbb{Q})$ .

# Analog of AFD vN algebras: approximately finite dimensional (AF) C\*-algebras, admit an ascending sequence of finite dimensional algebras that are $\|\cdot\|$ -dense.

Analog of AFD vN algebras: approximately finite dimensional (AF)  $C^*$ -algebras, admit an ascending sequence of finite dimensional algebras that are  $\|\cdot\|$ -dense.

Theorem (Elliott, 1977)

AF  $C^*$ -algebras are classified by their  $K_0$ -groups.

The AF condition is much more restrictive on *C*\*-algebras than on vN algebras. Useful comparison:

- $L^{\infty}(X,\mu)$ : AFD vN algebra
- C(X): AF  $C^*$ -algebra  $\Rightarrow X$  is zero dimensional

The AF condition is much more restrictive on *C*\*-algebras than on vN algebras. Useful comparison:

- $L^{\infty}(X,\mu)$ : AFD vN algebra
- C(X): AF  $C^*$ -algebra  $\Rightarrow X$  is zero dimensional

Nonetheless:

Elliott's classification program (ICM, 1994)

Classify and understand the structure of simple amenable *C*\*-algebras, in the spirit of Connes, Haagerup.

# TOWARDS A CLASSIFICATION (1990S)

• 1990s, 2000s: Progress classifying "higher dimensional" algebras relying on concrete internal structure. Think of internal  $\|\cdot\|$ -approximations by  $C^*$ -algebras of the form  $M_n(C(X))$ .

- 1990s, 2000s: Progress classifying "higher dimensional" algebras relying on concrete internal structure. Think of internal || · ||-approximations by C\*-algebras of the form M<sub>n</sub>(C(X)).
- Important early example: every irrational rotation algebra  $A_{\theta}$  is proved to be internally approximated by  $M_n(C(\mathbb{T}))$ .

- 1990s, 2000s: Progress classifying "higher dimensional" algebras relying on concrete internal structure. Think of internal || · ||-approximations by C\*-algebras of the form M<sub>n</sub>(C(X)).
- Important early example: every irrational rotation algebra  $A_{\theta}$  is proved to be internally approximated by  $M_n(C(\mathbb{T}))$ .
- The *purely infinite* case, the analog of type III vN algebras, settled by Kirchberg and Phillips in late 90s.

# TOWARDS A CLASSIFICATION (2000s, 2010s)

• 2000s: counterexamples of Toms, Rørdam show that a classification of all simple amenable *C*\*-algebras is too much to hope for.

# TOWARDS A CLASSIFICATION (2000s, 2010s)

- 2000s: counterexamples of Toms, Rørdam show that a classification of all simple amenable *C*\*-algebras is too much to hope for.
- Last 10-15 years: development of Toms–Winter regularity theory, helping decide which simple amenable *C*\*-algebras are well-behaved, or *regular*, enough to stand a chance at being classified. One approach: noncommutative version of covering dimension for *C*\*-algebras.

# TOWARDS A CLASSIFICATION (2000s, 2010s)

- 2000s: counterexamples of Toms, Rørdam show that a classification of all simple amenable *C*\*-algebras is too much to hope for.
- Last 10-15 years: development of Toms–Winter regularity theory, helping decide which simple amenable *C*\*-algebras are well-behaved, or *regular*, enough to stand a chance at being classified. One approach: noncommutative version of covering dimension for *C*\*-algebras.
- Recall: in the vN algebra setting, amenability is enough for classification. Not so in the *C*\*-setting. We need to require regularity in addition to amenability to avoid the counterexamples above.

Along with J. Gabe (Southern Denmark), A. Tikuisis (Ottawa), C. Schafhauser (Nebraska–Lincoln), and S. White (Oxford) we completed a proof of the following: Along with J. Gabe (Southern Denmark), A. Tikuisis (Ottawa), C. Schafhauser (Nebraska–Lincoln), and S. White (Oxford) we completed a proof of the following:

#### Theorem

Simple, amenable, and regular *C*\*-algebras that satisfy the Universal Coefficient Theorem are classified up to isomorphism by their *K*-theory and traces.

This settles the central classification conjecture in the  $C^*$ -setting.

Our approach not only draws inspiration from, but has a direct connection with the classical vN classification techniques.

#### Irrational rotation algebras

 $A_{\theta} = C(\mathbb{T}) \rtimes_{\theta} \mathbb{Z}$  satisfies the hypotheses. In this case, the  $K_0$ and  $K_1$  groups are both  $\mathbb{Z}^2$ . The trace portion of the invariant singles out  $\theta$ , so that  $A_{\theta} \cong A_{\theta'} \Leftrightarrow \theta = \pm \theta' \mod \mathbb{Z}$ .
## Irrational rotation algebras

 $A_{\theta} = C(\mathbb{T}) \rtimes_{\theta} \mathbb{Z}$  satisfies the hypotheses. In this case, the  $K_0$ and  $K_1$  groups are both  $\mathbb{Z}^2$ . The trace portion of the invariant singles out  $\theta$ , so that  $A_{\theta} \cong A_{\theta'} \Leftrightarrow \theta = \pm \theta' \mod \mathbb{Z}$ .

## Theorem applies to lots of nice actions Space: $X = \prod_{i=1}^{\infty} \{0, 1\}$ ; action: +1 with carry over: $(1 \ 1 \ 0 \ 0 \ \cdots) \xrightarrow{+1} (0 \ 0 \ 1 \ 0 \ \cdots) \xrightarrow{+1} (1 \ 0 \ 1 \ 0 \ \cdots).$

This is just the canonical action  $\mathbb{Z} \curvearrowright \mathbb{Z}_2 = \varprojlim \mathbb{Z}/2^i \mathbb{Z}$ . Here  $K_0 = \mathbb{Z}[\frac{1}{2}]$  and  $K_1 = \mathbb{Z}$ .

- $\cdot$  X is a compact metric space of finite covering dimension
- $\Gamma \curvearrowright X$  is free
- Γ is elementary amenable
  (Γ is built up starting with finite or abelian groups; e.g., nilpotent groups, solvable groups, linear groups, ...)

- X is a compact metric space of finite covering dimension
- $\Gamma \curvearrowright X$  is free
- Γ is elementary amenable
  (Γ is built up starting with finite or abelian groups; e.g., nilpotent groups, solvable groups, linear groups, ...)

- $\cdot$  X is a compact metric space of finite covering dimension
- $\Gamma \curvearrowright X$  is free
- Γ is elementary amenable
  (Γ is built up starting with finite or abelian groups; e.g., nilpotent groups, solvable groups, linear groups, ...)

- $\cdot$  X is a compact metric space of finite covering dimension
- $\Gamma \curvearrowright X$  is free
- Γ is elementary amenable

( $\Gamma$  is built up starting with finite or abelian groups; e.g., nilpotent groups, solvable groups, linear groups, ...)

## THANK YOU!